

By: Richard Long, Chairman of Governance and Audit Committee
Neeta Major, Interim Head of Internal Audit

To: Governance and Audit Committee – 11 April 2013

Subject: **COMMITTEE WORK PROGRAMME**

Classification: Unrestricted

Summary: This report provides an updated forward committee work programme.

FOR DECISION

Introduction and background

1. This is a standing item on each agenda to allow Members to review the plan for the year ahead, and provide Members with the opportunity to identify any additional items that they would wish to include.

Current work programme

3. Annex 1 shows the latest programme of work for the Committee, up to April 2014. The content of the programme is matched to the Committee Terms of Reference and aims to provide at least the minimum coverage necessary to meet the responsibilities set out.
4. The programme reflects requests made from Committee members for additional reports on specific items of interest.

Recommendations

5. It is recommended that Members:
 - approve the forward work programme to April 2014 to meet the Committee's Terms of Reference;
 - identify any additional items that members would wish to include.

Appendices

Annex 1 Committee work programme

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Interim Head of Internal Audit
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Category / Item	Owner	Apr-13	Jul-13	Sep-13	Dec-13	Apr-14
Secretariat						
Minutes of last meeting	AT	✓	✓	✓	✓	✓
Work Programme	NM	✓	✓	✓	✓	✓
Member Development Programme	NM	✓	✓	✓	✓	✓
Risk Management and Internal Control						
Corporate Risk Register	RH		✓		✓	
Review of the Risk Management Strategy, Policy and Programme	RH				✓	
Report on Insurance and Risk Activity	NV	✓				✓
Treasury Management quarterly report/six monthly review	NV	✓		✓	✓	✓
Treasury Management Annual Report	NV		✓			
Ombudsman Complaints	GW			✓		
Annual Complaints Report	DC			✓		
Update on Savings programme	AW	✓		✓		✓
Annual report on 'surveillance' activities carried out by KCC	MR	✓				✓
Corporate Governance						
Progress update on Change to Keep Succeeding	AB	Adhoc as requested				
Update on development of Management Guides (previously Statements of Required Practice).	DW	✓				✓
Annual review of Terms of Reference	NM			✓		
Debt Recovery	NV		✓		✓	
Annual review of the Council's Code of Corporate Governance	GW	✓				✓
Review of Bribery Act Policy and any related amendments to Constitution	GW				✓	
Internal Audit						

Category / Item	Owner	Apr-13	Jul-13	Sep-13	Dec-13	Apr-14
Internal Audit Progress Report	NM	✓		✓	✓	✓
Internal Audit Annual Report	NM		✓			
Internal Audit Benchmarking Results	NM			✓		
Internal Audit Strategy and Annual Plan	NM	✓				✓
Review of Charter of Internal Audit	NM		✓			
External Audit						
External Audit Update	NM	✓	✓	✓	✓	✓
External Audit Governance Report	NM		✓			
External Audit Annual Audit Letter	NM				✓	
External Audit Certification of Claims and Returns Report	NM	✓				✓
Effectiveness of Internal and External Audit Liaison	NM				✓	
External Audit Plan	NM	✓				✓
External Audit Pension Fund Plan	NM	✓				✓
External Audit Fee letter	NM	✓				✓
External Audit Fraud, Law & Regulations and Going Concern Considerations	AW	✓				✓
Financial Reporting						
Statement of Accounts & Annual Governance Statement	AW		✓			
Revised Accounting Policies	CH	✓				✓
Review of Financial Regulations	EF	✓				
Fraud						
Anti-fraud and anti-corruption compliance with CiPFA Red Book	NM		✓			
Review of the Anti-fraud and anti-corruption Strategy	NM		✓			
Anti-Fraud and Corruption Progress Report	NM	✓	✓	✓	✓	✓

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